IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.: 10/555,042 Confirmation No. 2751

Applicant : Joachim BAMBERG

Filed : 12/04/2006

TC/A.U. : 4115

Examiner : Dhanvir K. Aujla

Docket No. : 011235.56870US

Customer No. : 23911

Title: : METHOD TO MANUFACTURE COMPONENTS FOR GAS

TURBINES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

This Information Disclosure Statement is submitted:

| | | 1) | no later 1 | than three | months | from t | the ap | plication | 's filing | date |
|---------|---------|-------|----------------|-------------|-------------|--------|--------|-----------|-----------|-------|
| or 2) k | oefore | the 1 | nailing date | of the firs | st Office A | ction | on the | merits (| (whichev | er is |
| later) | or 3) 1 | befor | e a first Offi | ice Action | after the | filing | of a R | equest f | or Conti | nued |
| Exam | inatio | n, ar | d therefore | no statem | ent under | r 37 C | F.R. | § 1.97(e) | or fee u | nder |
| 37 C.I | F.R.§ 1 | .17(1 | o) is required | 1 . | | | | | | |

 \boxtimes 2) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (Ex parte Quayle), (whichever is earlier), and therefore Applicant is filing concurrently herewith:

| \boxtimes | a Statement under 37 C.F.R. § 1.97(e); or |
|-------------|--|
| | a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p). |

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| 3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith: |
|---|
| a Statement under 37 C.F.R. § 1.97(e); and |
| a fee in the amount of \$180.00 under 37 C.F.R. \S 1.17(p). |
| 4) within 30 days of receipt by any individual designated in 37 C.F.R. § 1.56(c) of a communication from a foreign patent office in a counterpart application in which each item of information contained in this information disclosure statement was first cited, and therefore Applicant is submitting herewith: |
| a Statement under 37 C.F.R. § 1.704(d). |
| II. Statement Under 37 C.F.R. § 1.97(e) |
| ☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or |
| I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or |
| I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. |
| III. Submission of Non-English Language Documents |
| The following is a concise explanation of relevance of the non- English language documents listed in the attached Form PTO-1449: |
| The relevance of the document(s) to the subject matter of the present invention is/are provided in the specification of the above-identified application. |

| Corresponding Search Report citing references listed on Form 1449 together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted. | | | | | | | | |
|--|--|------------------------------|-------------------------|---------------------------------|---------------|--|--|--|
| Form PTO-1449. | English language family member publication(s) are noted on PTO-1449. | | | | | | | |
| English language abstract(s) is/are submitted for document(s) as noted on the Form 1449. | | | | | | | | |
| | Applicant | submits | the | following | explanations: | | | |
| IV. Continuations/Divisionals/PCT National Stage Applications Documents listed on the attached form PTO-1449 were of record in parent application Serial No, from which this application claims benefit. As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously submitted to or cited by the United States Patent and Trademark Office in the afore-mentioned parent application. | | | | | | | | |
| Copies of document(s) listed on the attached form PTO-1449 have already been provided by the International Searching Authority, therefore, duplicate copies are not attached hereto. | | | | | | | | |
| The submiss that any such doct application. Applie appropriate to ante | ument constit cant does not | utes prior a waive any ri | rt agains ight to ta | st the claims ike any actior | that would be | | | |

reference against the claims of the present application.

If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 011235.56870US, for the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

CROWELL & MORING LLP

Dated: May 8, 2009

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